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# **NORTH AMERICA INTERNATIONAL PATENT OFFICE**

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**FROM : Winston Hsu, PATENT AGENT, REG. NO. : 41,526**

**SUBJECT: SERIAL NO. : 10/064,214**

**RESPONSE TO OFFICE ACTION MAILED ON 07/08/2003**

**TOTAL PAGES : 11 PAGES (INCLUDING COVER PAGE)**

**The hardcopy of this response will be sent to USPTO by Federal Express  
right away.**

**Winston Hsu 2003/9/17**

PTO/SB/97 (00-00)  
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APPLICATION NUMBER: 10/064,214

PAPERS INCLUDED:

(1) Transmittal Form	1 PAGE
(2) Fee Transmittal	1 PAGE
(3) Response to the Office Action	7 PAGES

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 3851-0031  
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<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/064,214	
	Filing Date	08/21/2002	
	First Named Inventor	Ching-Hsiang Hsu	
	Group Art Unit	2818	
	Examiner Name	Ho, Hoai V	
Total Number of Pages in This Submission	9	Attorney Docket Number	EMEP0011USA

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.62 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks Response to the office action has been sent to the examiner by fax on 9/17/2003		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Winston Hsu, Reg. No.: 41,526
Signature	<i>Winston Hsu</i>
Date	9/17/2003

CERTIFICATE OF MAILING			
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Signature		Date	

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PTO/SB/17 (01-03)

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**FEE TRANSMITTAL  
for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 0.00**Complete If Known**

Application Number	10/064,214
Filing Date	08/21/2002
First Named Inventor	Ching-Hsiang Hsu
Examiner Name	Ho, Hoai V
Art Unit	2818
Attorney Docket No.	EMEP0011USA

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number  
50-0801Deposit Account Name  
North America International Patent Office

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1302 330	2002 165	Design filing fee	
1003 620	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2006 80	Provisional filing fee	

**SUBTOTAL (1)** (\$) 0.00**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claim's over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$) 0.00

\*\*or number previously paid, if greater. For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	1052 50	2052 25	Surcharge - late filing fee or oath	
1053 130	2053 65	1054 130	2054 65	Surcharge - late provisional filing fee or cover sheet	
1812 2,520	2812 1,260	1813 2,520	2813 1,260	Non-English specification	
1804 920*	2804 460*	1805 1,840*	2805 920*	For filing a request for ex parte reexamination	
1806 1,840*	2806 920*	1807 50	2807 25	Requesting publication of SIR prior to Examiner action	
1251 110	2251 55	1401 320	2401 160	Requesting publication of SIR after Examiner action	
1252 410	2252 205	1402 320	2402 160	Extension for reply within first month	
1253 830	2253 415	1403 260	2403 130	Extension for reply within second month	
1254 1,460	2254 730	1404 260	2404 130	Extension for reply within third month	
1255 1,870	2255 935	1405 260	2405 130	Extension for reply within fourth month	
1401 320	2401 160	1406 260	2406 130	Extension for reply within fifth month	
1402 320	2402 160	1407 260	2407 130	Notice of Appeal	
1403 260	2403 130	1408 260	2408 130	Filing a brief in support of an appeal	
1451 1,510	2451 755	1409 260	2409 130	Request for oral hearing	
1452 110	2452 55	1410 260	2410 130	Petition to institute a public use proceeding	
1453 1,300	2453 650	1411 260	2411 130	Petition to revive - unavoidable	
1501 1,300	2501 650	1412 260	2412 130	Petition to revive - unintentional	
1502 470	2502 235	1413 260	2413 130	Utility issue fee (or release)	
1503 830	2503 415	1414 260	2414 130	Design issue fee	
1460 130	2460 65	1415 260	2415 130	Plant issue fee	
1807 50	2807 25	1416 260	2416 130	Petitions to the Commissioner	
1808 180	2808 90	1417 260	2417 130	Processing fee under 37 CFR 1.17(c)	
8021 40	2809 375	1418 260	2418 130	Submission of Information Disclosure Stmt	
1809 750	2810 375	1419 260	2419 130	Recording each patent assignment per property (times number of properties)	
1810 750	2811 375	1420 260	2420 130	Filing a submission after final rejection (37 CFR 1.128(e))	
1801 750	2801 375	1421 260	2421 130	For each additional invention to be examined (37 CFR 1.128(b))	
1802 900	2802 450	1422 260	2422 130	Request for Continued Examination (RCE)	
		1423 260	2423 130	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) 0.00**SUBMITTED BY**

Name (Print/Type) Winston Hsu

Signature

Registration No. 41,528

(Attorney/Agent)

**(Complete if applicable)**

Telephone 886289237350

Date

9/17/2003

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086289237390

Winston Hsu 41528

17 Sep 2003 17:14

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

5    Applicant:        Ching-Hsiang Hsu    Examiner:        Ho, Hoai V  
                         Ching-Sung Yang  
                         Shih-Jye Shen  
         Filing Date:    06/21/2002        Art Unit:        2818  
         App. No.:        10/064,214        Docket No.:     EMEP0011USA  
10    Title:            SINGLE-POLY EEPROM  
  
         To:            Commissioners for Patents  
                         P.O. Box 1450  
15                        Alexandria, VA 22313-1450  
  
         Subject:        Response to the Office action dated 07/08/2003

20

Dear Sir or Madame:

25

**INTRODUCTORY COMMENTS**

         In response to the Office action identified above, the above-identified application is  
to be amended as indicated in the following sections. Consideration of all amendments is  
politely requested.

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